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(Modified) PTO/SB/21 (6-98)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/954,692

Filing Date

September 12, 2001

First Named Inventor

Phillip A. Patten

Group Art Unit

1634

Examiner Name

Juliet Switzer

Attorney Docket Number

0103.12B-US

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☒ After First Allowance

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☒ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☒ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

New paper copy of Sequence Listing; Commun. re Submission of New Paper Copy of Seq. Listing; Commun. re Submission of Substitute Specification; Substitute Specification; Return Postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0990 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

Submissions made pursuant to request from Examiner Juliet Switzer

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Margaret A. Powers – Reg. No. 39,804

Signature

Date

January 24, 2005

## CERTIFICATE OF MAILING

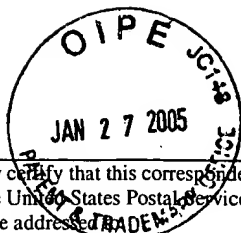
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Typed or printed name Margaret A. Powers

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Date

January 24, 2005



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By:

  
Margaret A. Powers

Attorney Docket No.: 0103.12B-US  
(formerly Attorney Docket No. 02-020522US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Phillip A. Patten et al.

Application No.: 09/954,692

Filed: September 12, 2001

For: **METHODS AND COMPOSITIONS  
FOR POLYPEPTIDE ENGINEERING**

Examiner: Juliet Switzer

Art Unit: 1634

**COMMUNICATION REGARDING  
SUBMISSION OF SUBSTITUTE  
SPECIFICATION UNDER  
37 CFR 1.125(a)**

Commissioner for Patents  
P.O. Box 1450  
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Dear Sir:

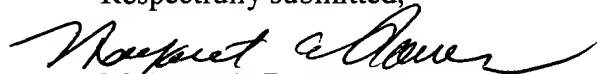
Pursuant to a request from Examiner Switzer and the Office of Patent Publications, Applicants hereby submit a substitute specification under 37 CFR 1.125(a) for the above- identified application, since the print quality of the originally submitted specification was allegedly poor. The original specification comprised text specification pages 1-101, claims on pages 102-133, an Abstract on page 134, and eight sheets of figures. Examiner Switzer has requested that we submit only substitute pages for text pages 1-101 of the specification and that we do not need to file substitute pages for the originally filed claims (pages 102-133), Abstract (page 134), or figures (eight sheets). **Therefore, please replace pages 1-101 of the originally filed specification with the enclosed substitute specification pages 1-101.** This substitute specification is identical to the specification originally filed and presents no new matter.

Pursuant to a request from Examiner Switzer for the convenience of the Office, Applicants are concurrently submitting herewith an Amendment Under 37 CFR § 1.312, which provides all amendments to be made to this substitute specification comprising pages 1-101, including those made previously during prosecution of this application. This Amendment also contains several amendments to the specific paragraphs in the specification to include Sequence Identification Numbers (SEQ ID NOS), pursuant to a request from Examiner Switzer. Thus, all necessary amendments to this substitute specification are being provided in the Amendment Under 37 CFR § 1.312 submitted concurrently herewith. Conveniently, the Office will not need to review the prosecution file for any additional amendments to this substitute specification.

Applicants note that formal drawings (with amendments) were submitted previously during prosecution. In addition, Applicants note that a new paper copy of the Sequence Listing is being submitted concurrently herewith pursuant to a request from Examiner Switzer.

If any further information or action is needed, the Examiner is invited to telephone the undersigned at (650) 298-5809.

Respectfully submitted,



Margaret A. Powers  
Attorney for Applicants  
Reg. No. 39,804

January 24, 2005  
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